

JUL 01 2002

Sheet 1 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
10013503-1APPLICATION NO. CONFIRMATION NO.  
09/896,242

APPLICANT

Scott Leerssen et al.

FILING DATE

June 29, 2001

GROUP

N/A

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
1A				
1B				RECEIVED
1C				
1D				JUL 08 2002
1E				Technology Center 2100
1F				
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L					
1M					
1N					
1O					
1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Hewlett-Packard Virtual Vault "Administrator's Guide," HP Part No. B5413-90061, Release A.04.50, E0401, printed 2001, pages ii-xii, and 1-224. Available online at <a href="http://docs.hp.com/hpux/pdf/B5413-90061.pdf">http://docs.hp.com/hpux/pdf/B5413-90061.pdf</a> .
1R	Hewlett-Packard Trusted Solaris Administration Overview, Chapter 3, "Administering Trusted Networking," - December 2000, pages 71-78. Available online at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&amp;Ab2Enc=820-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&amp;Ab2Enc=820-8859-1</a> .
1S	Hewlett-Packard Trusted solaris Administration Overview, Chapter 4, "Administering Auditing," - December 2000, pages 87-99. Available online at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .

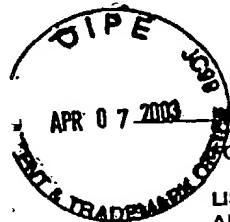
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Charles Amya

DATE CONSIDERED

6/14/04



## PATENT APPLICATION

Sheet 1 of 1

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ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
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FILING DATE	GROUP	N/A

June 29, 2001

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
Coa	1A 5,491,808	02/13/1996	Geist, Jr.	
Coa	1B 5,925,126	07/20/1999	Hsieh	
Coa	1C 6,081,664	06/27/2000	Nowlin, Jr.	
1D				
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## FOREIGN PATENT DOCUMENTS

NOTE NO ENGLISH TRANSLATION	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
Coa	1L EP 0568717 A	11/10/1993	IBM		
Coa	1M EP 0801348 A	10/15/1997	Hewlett-Packard		
Coa	1N EP 0632377 A	01/04/1995	Microsoft Corp.		
Coa	1O EP 0654735 A	05/24/1995	AT&T Corp.		
	1P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Coa	1Q	"Execution trace analysis of OS/2 2.DLLs Using an Intercept Layer," IBM Technical Disclosure Bulletin, March 1994 (2 pages).
	1R	Foreign Search Report dated January 15, 2003
	1S	

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JUL 01 2001  
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ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
TM-13502-1	09/896,351	
APPLICANT		
Joubert Berger et al.		
FILING DATE	GROUP	
June 29, 2001	N/A	

## REFERENCE DESIGNATION

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2A				
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2L					
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2O					
2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	2Q	HP Praesidium VirtualVault "Concepts Guide," HP Part No. B5413-90051, Release A.04.00, E1199, printed 199, pages ii-viii, and 1-82. Available on-line at <a href="http://docs.hp.com/hpux/pdf/B5413-90051.pdf">http://docs.hp.com/hpux/pdf/B5413-90051.pdf</a> .
Cea	2R	Sun Microsystems "Trusted Solaris Audit Administration," Trusted Solaris 8, Sun Microsystems Part No. 805-8121-10, December 2000, pages 1-342. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .
Cea	2S	Sun Microsystems "Compartmented Mode Workstation Labeling: Encodings Format," Sun Microsystems Part No. 805-8123, December 2000, pages 1-176. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLBLADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLBLADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .

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FORM PTO-1449		ATTY. DOCKET NO. 10013502-1	APPLICATION NO. 09/896,351	CONFIRMATION NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT Joubert Berger et al.		
(Use several sheets if necessary)		FILING DATE June 29, 2001	GROUP N/A	

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>6a</i>	3Q	Sun Microsystems "Trusted Solaris User's Guide," Sun Microsystems Part No. 805-8115-10, December 2000, pages 1-133. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .
	3R	
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